

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>						<b>Application No.</b> 09/937,495		
						<b>Express Mail No.</b> EV 036 410 435 US		
						<b>Intl. Filing Date</b> March 30, 2000		
						<b>First Named Inventor</b> Chihiro Kusunoki et al.		
						<b>Examiner</b> To Be Assigned		
						<b>Group Art</b> To Be Assigned		
						<b>Attorney Docket Number</b> SHIM013		
<b>International Application No.</b>		PCT/JP00/02022			<b>International Filing Date</b>	03-30-2000	<b>Priority Date Claimed</b>	03-30-1999
<b>Title of Invention</b>		METHOD FOR PREPARING MONOCLONAL ANTIBODY						
<b>Applicant(s) for DO/EO/US</b>		Chihiro Kusunoki; Atsushi Fukushima						
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:								
1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.								
2. <input checked="" type="checkbox"/> This is a SECOND or SUSEQUENT submission of items concerning a filing under 35 U.S.C. 371								
3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9), (21) indicated below.								
4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).								
5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))								
a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).								
b. <input type="checkbox"/> has been communicated by the International Bureau.								
c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).								
6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 37(c)(2)).								
a. <input type="checkbox"/> is attached hereto								
b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).								
7. <input type="checkbox"/> Amendments to the claims of the International Application under pCT Article 19 (35 U.S.C. 37(c)(3))								
a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).								
b. <input type="checkbox"/> have been communicated by the International Bureau.								
c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.								
d. <input type="checkbox"/> have not been made and will not be made.								
8. <input type="checkbox"/> An English language translation of the amendments to the claims under pCT Article 19 (35 U.S.C. 371(c)(3)).								
9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).								
10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).								
<b>Items 11 to 20 below concern document(s) or information included:</b>								
11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98								
12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.								
13. <input type="checkbox"/> A FIRST preliminary amendment.								
14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.								
15. <input type="checkbox"/> A substitute specification.								
16. <input type="checkbox"/> A change of power of attorney and/or address letter.								

17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Copy of the Notification of Missing Requirements; return receipt postcard

21. ☒ Fees

**BASIC NATIONAL FEE (37 CFR 1.492(a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.....

**\$1,000.00**

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO....

**\$860.00**

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....

**\$710.00**

International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4).....

**\$690.00**

International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4).....

**\$100.00**

**ENTER APPROPRIATE BASIC FEE AMOUNT**

**=**

**CALCULATIONS PTO USE ONLY**

Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☒ 30

months from the earliest claimed priority date (37 CFR 1.492(f)).

**\$130.00**

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	TOTAL
Total Claims	14	0	\$18.00	\$ -
Independent Claims	1	0	\$80.00	\$ -
<input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (if applicable)			\$270.00	
<b>TOTAL OF ABOVE CALCULATIONS</b>				

☒ Extension of time from January 5, 2002 to March 5, 2002.

**\$ 400.00**

**SUBTOTAL**

**\$ 530.00**

Processing fee of \$130.00 for furnishing the English Translation later than ☐ 20 ☐ 30

earliest claimed priority date (37 CFR 1.492(f)).

**TOTAL NATIONAL FEE =**

**\$ 530.00**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **\$40.00** per property.

03/06/2002 LLANDGRA 00000017 500815 09937495

**TOTAL FEES**

**\$ 530.00**

01 FEE 154 130.00 CH  
02 FEE 116 400.00 CH

**Amount to be refunded \$**

**charged: \$530.00**

☒ **The Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account 50-0815. If additional fees are required, including extensions of time, please consider this a petition therefore. A duplicate copy of this sheet is enclosed.**

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:  
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Telephone - Direct Dial 650-833-7735  
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SIGNATURE

Karl Bozicevic

NAME

28,807

REGISTRATION NUMBER